

JUL 01 2002

Sheet 1 of 3

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

TRADEMARK OFFICE

ATTY. DOCKET NO.

10013503-1

APPLICATION NO.

09/896,242

CONFIRMATION NO.

APPLICANT

Scott Leerssen et al.

FILING DATE

June 29, 2001

GROUP

N/A

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A				
	1B				
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

RECEIVED

JUL 08 2002

Technology Center 2100

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

Cea	1Q	Hewlett-Packard Virtual Vault "Administrator's Guide," HP Part No. B5413-90061, Release A.04.50, E0401, printed 2001, pages ii-xii, and 1-224. Available online at <a href="http://docs.hp.com/hpux/pdf/B5413-90061.pdf">http://docs.hp.com/hpux/pdf/B5413-90061.pdf</a> .
Cea	1R	Hewlett-Packard Trusted Solaris Administration Overview, Chapter 3, "Administering Trusted Networking," - December 2000, pages 71-78. Available online at <a href="http://docs.sun.com/ab2/coll.175.4/TRSOLADMINOV/@Ab2PageView/4120?Ab2Lang=C&amp;Ab2Enc=820-8859-1">http://docs.sun.com/ab2/coll.175.4/TRSOLADMINOV/@Ab2PageView/4120?Ab2Lang=C&amp;Ab2Enc=820-8859-1</a> .
Cea	1S	Hewlett-Packard Trusted solaris Administration Overview, Chapter 4, "Administering Auditing," - December 2000, pages 87-99. Available online at <a href="http://docs.sun.com/ab2/coll.175.4/TRSOLADMINOV/@Ab2PageView/5573?Ab2Lang=C&amp;Ab2Enc=iso-8859-1">http://docs.sun.com/ab2/coll.175.4/TRSOLADMINOV/@Ab2PageView/5573?Ab2Lang=C&amp;Ab2Enc=iso-8859-1</a> .

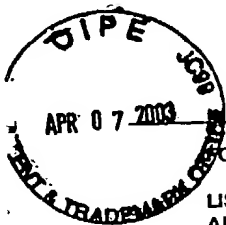
EXAMINER

Charles Anya

DATE CONSIDERED

6/14/04

BEST AVAILABLE COPY



FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO. 10013503-1	APPLICATION NO. 09/896,242	CONFIRMATION NO.
APPLICANT Scott Leerssen et al.		
FILING DATE June 29, 2001	GROUP N/A	

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
Cea	1A	5,491,808	02/13/1996	Geist, Jr.	
Cea	1B	5,925,126	07/20/1999	Hsieh	
Cea	1C	6,081,664	06/27/2000	Nowlin, Jr.	
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

RECEIVED

APR 10 2003

Technology Center 2100

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
NOTE NO ENGLISH TRANSLATION	Cea	1L	EP 0568717 A	11/10/1993	IBM	
	Cea	1M	EP 0801348 A	10/15/1997	Hewlett-Packard	
	Cea	1N	EP 0632377 A	01/04/1995	Microsoft Corp.	
	Cea	1O	EP 0654735 A	05/24/1995	AT&T Corp.	
		1P				

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

Cea	1Q	"Execution trace analysis of OS/2 2.DLLs Using an Intercept Layer," IBM Technical Disclosure Bulletin, March 1994 (2 pages).
	1R	Foreign Search Report dated January 15, 2003
	1S	

BEST AVAILABLE COPY

EXAMINER

Charles Amey

DATE CONSIDERED

6/14/04

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

JUL 01 2002

ATTY. DOCKET NO.

13502-1

APPLICATION NO.

09/896,351

CONFIRMATION NO.

APPLICANT

Joubert Berger et al.

FILING DATE

June 29, 2001

GROUP

N/A

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	2A			
	2B			
	2C			
	2D			
	2E			
	2F			
	2G			
	2H			
	2I			
	2J			
	2K			

RECEIVED

JUL 08 2002

Technology Center 2100

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	2L				
	2M				
	2N				
	2O				
	2P				

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

Cea	2Q	HP Praesidium VirtualVault "Concepts Guide," HP Part No. B5413-90051, Release A.04.00, E1199, printed 199, pages ii-viii, and 1-82. Available on-line at <a href="http://docs.hp.com/hpux/pdf/B5413-90051.pdf">http://docs.hp.com/hpux/pdf/B5413-90051.pdf</a> .
Cea	2R	Sun Microsystems "Trusted Solaris Audit Administration," Trusted Solaris 8, Sun Microsystems Part No. 805-8121-10, December 2000, pages 1-342. Available on-line at <a href="http://docs.sun.com/ab2/coll.175.4/TRSOLAUDADMIN/@Ab2TocView?AAb2Lang=C&amp;Ab2Enc=iso-8859-1">http://docs.sun.com/ab2/coll.175.4/TRSOLAUDADMIN/@Ab2TocView?AAb2Lang=C&amp;Ab2Enc=iso-8859-1</a> .
Cea	2S	Sun Microsystems "Compartmented Mode Workstation Labeling: Encodings Format," Sun Microsystems Part No. 805-8123, December 2000, pages 1-176. Available on-line at <a href="http://docs.sun.com/ab2/coll.175.4/TRSOLLBLADMIN/@Ab2TocView?Ab2Lang=C&amp;Ab2Enc=iso-8859-1">http://docs.sun.com/ab2/coll.175.4/TRSOLLBLADMIN/@Ab2TocView?Ab2Lang=C&amp;Ab2Enc=iso-8859-1</a> .

BEST AVAILABLE COPY

EXAMINER

Charles Amey

DATE CONSIDERED

6/14/04

BEST AVAILABLE COPY

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

JUL 01 2002

PATENT &amp; TRADEMARK OFFICE

ATTORNEY DOCKET NO.

0013502-1

APPLICATION NO.

09/896,351

CONFIRMATION NO.

APPLICANT

Joubert Berger et al.

FILING DATE

June 29, 2001

GROUP

N/A

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	3A			
	3B			
	3C			
	3D			
	3E			
	3F			
	3G			
	3H			
	3I			
	3J			
	3K			

RECEIVED

JUL 08 2002

Technology Center 2100

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	3L				
	3M				
	3N				
	3O				
	3P				

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

Cea	3Q	Sun Microsystems "Trusted Solaris User's Guide," Sun Microsystems Part No. 805-8115-10, December 2000, pages 1-133. Available on-line at <a href="http://docs.sun.com/ab2/coll.175.4/TRSSUG/@Ab2TocView?Ab2Lang=C&amp;Ab2Enc=iso-8859-1">http://docs.sun.com/ab2/coll.175.4/TRSSUG/@Ab2TocView?Ab2Lang=C&amp;Ab2Enc=iso-8859-1</a> .
	3R	
	3S	

BEST AVAILABLE COPY

EXAMINER

Charles Amya

DATE CONSIDERED

6/14/04

BEST AVAILABLE COPY